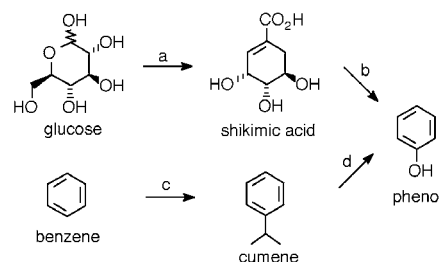


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## Benzene-Free Synthesis of Phenol\*\*

James M. Gibson, Phillip S. Thomas, Joshua D. Thomas, Jessica L. Barker, Sunil S. Chandran, Mason K. Harrup, Karen M. Draths, and John W. Frost\*

Although phenol has been synthesized by a succession of processes, the Hock oxidation of benzene-derived cumene (Scheme 1) is currently the predominant method used in the



Scheme 1. Synthesis of phenol. Conditions: a) *E. coli* SP1.1PTS-/pSC6.090B; b) 1)  $\text{H}_2\text{O}$ , 350 °C, 2)  $\text{Cu}^0$ ,  $\text{H}_2\text{O}$ , 350 °C; c) propene,  $\text{AlCl}_3$ ; d) 1.  $\text{O}_2$ , 100 °C, 2.  $\Delta$ ,  $\text{H}_2\text{SO}_4$ .

production of phenol, which amounts to  $5 \times 10^9$  kg annually.<sup>[1]</sup> Most of the past and currently employed phenol syntheses use benzene,<sup>[1]</sup> a volatile organic carcinogen, as the starting

[\*] Prof. J. W. Frost, Dr. J. L. Barker, Dr. S. S. Chandran, Dr. M. K. Harrup, Prof. K. M. Draths  
Departments of Chemistry and Chemical Engineering  
Michigan State University  
East Lansing, MI 48824 (USA)  
Fax: (+1) 517432-3873  
E-mail: frostjw@cem.msu.edu

Prof. J. M. Gibson, P. S. Thomas, J. D. Thomas  
Chemistry Department, Wingate University  
Wingate, NC 28174 (USA)  
Fax: (+1) 704-233-8233

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material. Approximately 20% of the global benzene production is directed into the manufacture of phenol.<sup>[2]</sup> As part of an effort to elaborate alternatives to benzene,<sup>[3]</sup> the aromatization of shikimic acid in near-critical water has been examined, in which phenol is the primary reaction product. In parallel efforts, shikimic acid was synthesized in concentrations of 71 g L<sup>-1</sup> by altering the mechanism for glucose transport in *E. coli*. The chemistry of shikimic acid in near-critical water and its high-titer synthesis from glucose establish a benzene-free route to phenol.

An aqueous solution of shikimic acid was heated to 350 °C and maintained at this temperature for 30 min. Phenol, *m*-hydroxybenzoic acid, and *p*-hydroxybenzoic acid were produced in yields of 53%, 18%, and 1%, respectively. The extraction of the reaction mixture with diethyl ether and the distillation of the concentrated residue afforded white, crystalline phenol in a yield of 45%. The residue that remained from the distillation was dissolved in water and heated at 350 °C with Cu<sup>0</sup> powder. After extraction and distillation, additional phenol (6%) was obtained.

The overall yield of phenol that was obtained when shikimic acid was heated in near-critical water (51%) was significantly different from that found in the acid-catalyzed reaction.<sup>[4]</sup> When shikimic acid was heated at reflux in HCl (12 M) for 14 h, *p*-hydroxybenzoic acid and *m*-hydroxybenzoic acid were produced in yields of 40% and 13%, respectively. Similar yields were obtained when shikimic acid was heated at reflux in aqueous H<sub>2</sub>SO<sub>4</sub> (8 M). The concentration of the acid catalyst could be lowered when solutions of shikimic acid in acetic acid were used: when shikimic acid was heated at 120 °C for 16 h in acetic acid that contained H<sub>2</sub>SO<sub>4</sub> (1 M), *p*-hydroxybenzoic acid and *m*-hydroxybenzoic acid were obtained in yields of 57% and 9%, respectively.

Although phenol was formed in aqueous solutions of shikimic acid at temperatures as low as 250 °C, the dominant product was 3-*epi*-shikimic acid (Figure 1). At higher temper-

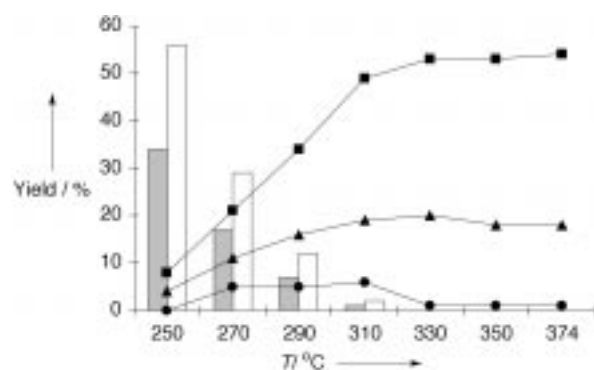
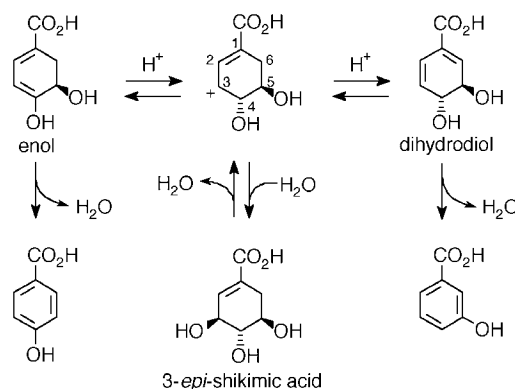


Figure 1. Phenol (■), *m*-hydroxybenzoic acid (▲), *p*-hydroxybenzoic acid (●), and 3-*epi*-shikimic acid (□), formed in aqueous solutions of shikimic acid (■) as a function of temperature. The indicated temperatures were maintained for 30 min in a Parr (Model No. 4742) high-pressure reaction vessel.

atures, the yield of 3-*epi*-shikimic acid declined and the yield of phenol increased. The yield of *p*-hydroxybenzoic acid was never higher than 6%, and only traces were detected at higher temperatures, whereas the yield of *m*-hydroxybenzoic acid

was always approximately 20%. When *m*-hydroxybenzoic acid was heated in water at 350 °C for 3 h, the yield of phenol was only 5%. In contrast, the reaction of *m*-hydroxybenzoic acid at 350 °C for 3 h in the presence of one equivalent of Cu<sup>0</sup> or Cu<sup>0</sup>OAc resulted in phenol in yields of 96% and 95%, respectively.<sup>[5]</sup>

The formation of 3-*epi*-shikimic acid is possibly the result of a C3 carbocation intermediate (Scheme 2). The deprotonation of the C3 carbocation would generate enol and dihy-

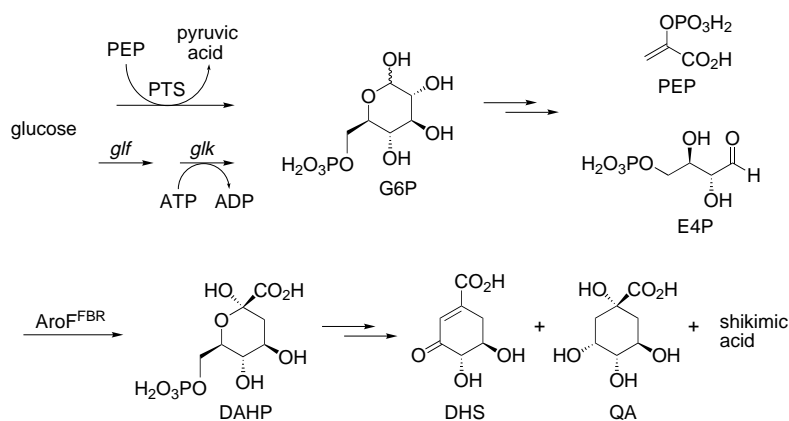


Scheme 2. Possible mechanisms for the reaction of shikimic acid in water heated from 250–374 °C.

drodiol reactive intermediates (Scheme 2) whose subsequent dehydration would produce *p*-hydroxybenzoic and *m*-hydroxybenzoic acids, respectively. Relatively little phenol is likely to be produced from the decarboxylation of *m*-hydroxybenzoic acid. The decarboxylation of *p*-hydroxybenzoic acid provides one route to phenol although decarboxylation and dehydration of a conjugated  $\delta$ -keto carboxylate derived from enol tautomerization (Scheme 2) could lead to phenol without the intermediacy of *p*-hydroxybenzoic acid.

Shikimic acid has historically not been used in synthesis owing to the expense of its isolation from *Illicium* plants.<sup>[6]</sup> With the elaboration of a microbe-catalyzed synthesis from glucose,<sup>[7]</sup> shikimic acid is now used to synthesize the antiinfluenza drug Tamiflu.<sup>[8]</sup> Microbes such as *E. coli* rely on the carbohydrate phosphotransferase (PTS) system, which catalyzes the transfer of the phosphoryl group from phosphoenolpyruvic acid to promote the transport of glucose and generate glucose 6-phosphate (Scheme 3).<sup>[9]</sup> Because the byproduct, pyruvic acid, is oxidized to CO<sub>2</sub>, the maximum theoretical yield for the synthesis of shikimic acid is 43% (mol/mol) when a microbe that relies on the PTS-mediated transport of glucose is used.<sup>[3c, 10]</sup>

The PTS system in shikimate-synthesizing *E. coli* SP1.1 was therefore inactivated by using the mutation  $\Delta(ptsH\ ptsI\ crr)::Kn^R$  to generate *E. coli* SP1.1PTS<sup>-</sup>.<sup>[7, 11]</sup> The diffusion of glucose into *E. coli* SP1.1PTS<sup>-</sup>/pSC6.090B was mediated by the *glf*-encoded glucose facilitator whereas the reaction of glucose with adenosine triphosphate was catalyzed by *glk*-encoded glucokinase (Scheme 3).<sup>[12]</sup> Both *glf* and *glk* genes, isolated from *Zymomonas mobilis*,<sup>[12a]</sup> were plasmid pSC6.090B inserts (Figure 2). Improved phosphoenolpyru-



Scheme 3. Glucose transport and biosynthesis of shikimic acid and metabolically related by-products. Abbreviations: PEP = phosphoenolpyruvic acid, PTS = carbohydrate phosphotransferase system, ATP = adenosine triphosphate, *glf* = glucose facilitator protein, *glk* = glucokinase, G6P = D-glucose 6-phosphate, E4P = D-erythrose 4-phosphate, *AroF<sup>FBR</sup>* = DAHP synthase, DAHP = 3-deoxy-D-arabino-heptulosonic acid 7-phosphate, DHS = 3-dehydroshikimic acid, QA = quinic acid.

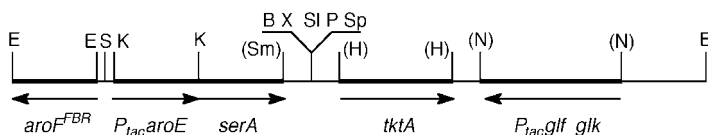


Figure 2. Restriction enzyme map of plasmid pSC6.090B.

vate availability does not increase the biosynthesis of 3-deoxy-D-arabino-heptulosonic acid 7-phosphate, a precursor of shikimic acid, unless the availability of D-erythrose 4-phosphate is increased (Scheme 3).<sup>[13]</sup> This was accomplished with the increase in transketolase activity attendant with the expression of the *tktA* insert (Figure 2) of plasmid pSC6.090B.<sup>[14]</sup>

*E. coli* SP1.1PTS<sup>-</sup>/pSC6.090B was cultured on a 1 L scale in minimal salts medium under controlled fermentor conditions: the culture was maintained at pH 7, 33 °C, and with a dissolved oxygen level of 10 % air saturation.<sup>[15]</sup> SP1.1PTS<sup>-</sup>/pSC6.090B produced (Figure 3) shikimic acid (71 g L<sup>-1</sup>, 27 % mol/mol

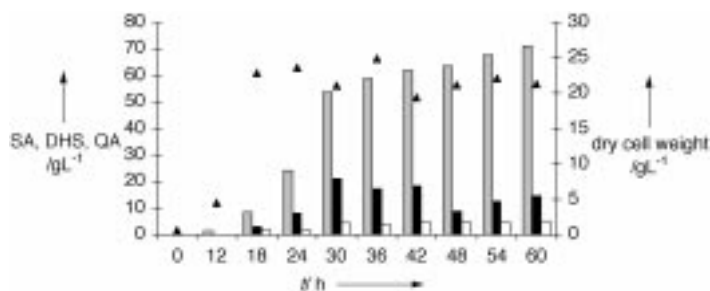


Figure 3. Growth of *E. coli* SP1.1PTS<sup>-</sup>/pSC6.090B (dry cell weight: ▲) and synthesis of shikimic acid (SA: ■), by-products 3-dehydroshikimic acid (DHS: ■), and quinic acid (QA: □) under fed-batch fermentor conditions.

yield) from glucose after cultivation for 60 h along with 3-dehydroshikimic acid (15 g L<sup>-1</sup>) and quinic acid (5 g L<sup>-1</sup>). In comparison, *E. coli* PTS<sup>+</sup> strains, which lack the expression of

the *glf*-encoded glucose facilitator, produced less shikimic acid (52 g L<sup>-1</sup>, 18 % mol/mol yield). The addition of ethanol to the clarified, decolorized fermentation broth followed by continuous liquid–liquid extraction with ethyl acetate provided shikimic acid (97 % recovery).

The reactivity of shikimic acid in near-critical water circumvents the potent antimicrobial activity of phenol. The employment of near-critical water also establishes a unique reaction manifold which connects hydroaromatic compounds with aromatic products, while avoiding the use of high concentrations of strong acids. This is probably a result of the 1000-fold increase in the dissociation constant of water as its critical-point temperature is approached.<sup>[16]</sup> Shikimic acid can become more readily available, given a predicted 86 % (mol/mol) theoretical maximum yield for its synthesis in lieu of PTS-mediated glucose transport.<sup>[3c, 10]</sup> The chirality of shikimic acid could thus become essentially disposable as a previously scarce

natural product evolves into an abundantly available commodity chemical that is used primarily for its cyclic assembly of carbon atoms.

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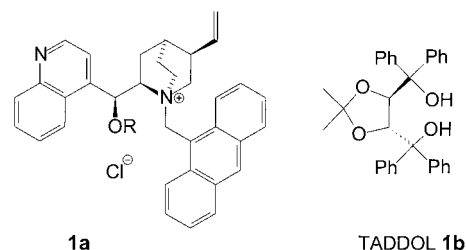
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## Highly Efficient Catalytic Synthesis of $\alpha$ -Amino Acids under Phase-Transfer Conditions with a Novel Catalyst/Substrate Pair\*\*

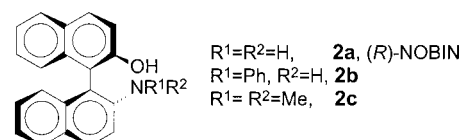
Yuri N. Belokon,\* Konstantin A. Kochetkov, Tatiana D. Churkina, Nikolai S. Ikonnikov, Oleg V. Larionov, Syuzanna R. Harutyunyan, Štěpán Vyskočil,\* Michael North, and Henri B. Kagan\*

Asymmetric phase-transfer catalysis (PTC) reactions constitute one of the most challenging fields of contemporary organic synthesis.<sup>[1]</sup> Following the seminal work by O'Donnell et al.<sup>[2a]</sup> and Grabowski et al.<sup>[2b]</sup> dramatic improvements in the asymmetric efficiency of PTC reactions were achieved by introducing (9-anthracenylmethyl)cinchonidinium (**1a**, R = allyl, benzyl, H) and other cinchoninium salts as catalysts



for the enantioselective alkylation of *N*-(diphenylmethylene)glycine esters with electrophiles.<sup>[2c–e]</sup> Purely synthetic, chiral,  $C_2$ -symmetrical ammonium salts were recently prepared and shown to be highly efficient in the same set of reactions.<sup>[3]</sup> Thus, preparative catalytic asymmetric syntheses of very important synthetic targets such as amino acids were developed, and enantioselectivities of up to 92–98% for the final product were achieved.<sup>[2c–e, 3]</sup>

Unfortunately, *N*-(diphenylmethylene)glycine esters are expensive, and quaternary ammonium salts are sometimes unstable under basic conditions. Hence, the search for novel types of substrates and catalysts for the asymmetric PTC synthesis of amino acids deserves further effort. Recently, we reported on the use of 2-amino-2'-hydroxy-1,1'-binaphthyl (NOBIN, **2a**) as a novel type of PTC catalyst in the alkylation



of *N*-(phenylmethylene)alanine esters and thus the synthesis of  $\alpha$ -methyl- $\alpha$ -amino acids with a modest *ee* of 68%.<sup>[4]</sup> Our attempts to use **2a** as a catalyst for the asymmetric alkylation of *N*-(diphenylmethylene)glycine esters failed to give any asymmetric induction. We reasoned, however, that other types of achiral glycine derivatives capable of stronger interactions with NOBIN would be more suitable substrates.

Earlier, we reported on the synthesis and application of substrate **3** (see Scheme 1) in an asymmetric Michael reaction, catalyzed by (*R,R*)-TADDOL (**1b**), that led to 4-methylglutamic acid with a low *ee* (28%).<sup>[5]</sup> Substrate **3** seemed to be a suitable model for use in other alkylation reactions with catalyst **2a**, as there are several potential centers of substrate–catalyst interaction by formation of a hydrogen bond or a metal complex. Here we report new results on the alkylation of substrate **3** (Scheme 1) with catalysis by **2a** under phase-transfer conditions, which led to a fast (as little as 5 min), efficient synthesis of  $\alpha$ -amino acids at ambient temperature and with *ee* values of up to 93–98%.

Substrate **3** was readily prepared by the templated condensation of *N*-(2-benzoylphenyl)pyridine-2-carbamide (PBP, **4**) with glycine (Gly) and  $Ni(NO_3)_2$  in MeOH in the presence of MeONa (Scheme 1). Complex **3** is a red, crystalline compound, easily purified by chromatography on  $SiO_2$  and poorly soluble in most organic solvents and water. The solubility of the complex in organic solvents such as  $CH_2Cl_2$  or  $(CH_2Cl)_2$  was greatly increased by adding **2a**.

[\*] Prof. Yu. N. Belokon, Dr. K. A. Kochetkov, Dr. T. D. Churkina, Dr. N. S. Ikonnikov, O. V. Larionov  
 A. N. Nesmeyanov Institute of Organo-Element Compounds  
 Russian Academy of Sciences, 117813  
 Moscow, Vavilov 28 (Russia)  
 Fax: (+7) 95-135-5085  
 E-mail: yubel@ineos.ac.ru.

Dr. Š. Vyskočil  
 Charles University, Faculty of Science  
 Department of Organic Chemistry  
 Hlavova 2030  
 12840, Prague 2 (Czech Republic)  
 Fax: (+420) 2-21952323  
 E-mail: stepanv@natur.cuni.cz

Prof. H. B. Kagan  
 Université de Paris Sud, 91405-Orsay Cedex  
 Institut de Chimie Moléculaire d'Orsay  
 Laboratoire de Synthèse Asymétrique  
 UPRESA 8075 (France)  
 Fax: (+33) 1-69-15-46-80  
 E-mail: kagan@josquin.icmo.u-psud.fr

S. R. Harutyunyan  
 Erevan University, Faculty of Chemistry  
 Department of Organic Chemistry  
 Erevan, Manukian 1 (Republic of Armenia)

Dr. M. North  
 Department of Chemistry  
 King's College Strand, London, WC2R2LS (UK)

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